

Docket No.



12082.05/JWE

STRADLING YOCCA CARLSON & RAUTH  
P.O. Box 7680  
Newport Beach, CA 92660-6441  
(949) 725-4000

Applicant or Patentee : Michael F. Brownell, et al.  
Application or Patent No. : 09/772,539  
Filed or Issued : January 29, 2001  
Entitled : OCULAR FIXATION AND STABILIZATION DEVICE FOR  
OPHTHALMIC SURGICAL APPLICATION

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR § 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

\_\_\_\_\_ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN : INTRALASE CORPORATION

ADDRESS OF SMALL BUSINESS CONCERN : 3 MORGAN  
IRVINE, CA 92618-1973

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for the purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled OCULAR FIXATION AND STABILIZATION DEVICE FOR OPHTHALMIC SURGICAL APPLICATIONS by inventor(s) R. Kyle Webb, Michael F. Brownell, Christopher Horvath, Tibor Juhasz, Ronald M. Kurtz, Laszlo I. Nagy, Mark W. Ross and Carlos G. Suarez described in

\_\_\_\_\_ the specification filed herewith

☒ Application No. 09/772,539 filed January 29, 2001

\_\_\_\_\_ Patent No. \_\_\_\_\_ issued \_\_\_\_\_

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person, made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME :  
ADDRESS :

\_\_\_\_\_ INDIVIDUAL \_\_\_\_\_ SMALL BUSINESS CONCERN \_\_\_\_\_ NONPROFIT ORGANIZATION

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS**  
**(37 CFR § 1.9(f) and 1.27(c))**  
**SMALL BUSINESS CONCERN**

Docket No.: 12082.05/JWE

NAME : IntraLase Corporation  
ADDRESS : 3 Morgan, Irvine, California 92618

☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

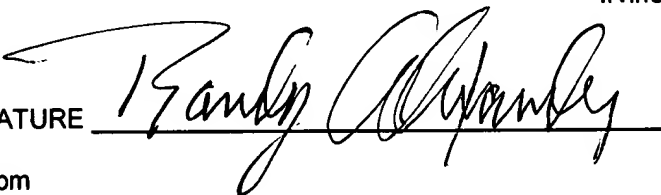
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR § 1.28(b))

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, in any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING : J. Randy Alexander  
TITLE OF PERSON IF OTHER THAN OWNER : President & Chief Executive Officer

ADDRESS OF PERSON SIGNING : 3 Morgan  
Irvine, CA 92618-1973

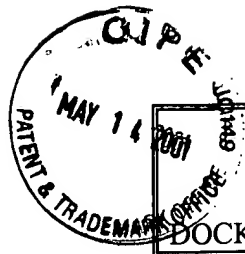
SIGNATURE



DATE

2-6-01

JWE/pm



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PATENT

DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATIONS

DOCKET NO.: 12082-05/JWE

As a below named inventor I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled OCULAR FIXATION AND STABILIZATION DEVICE FOR OPHTHALMIC SURGICAL APPLICATIONS, the specification of which is attached hereto unless the following is checked:

— was filed on \_\_\_\_\_ as United States Application Number or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or §365(b) of the foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

<u>Application Number</u>	<u>Country</u>	<u>Filing Date (day/month/year)</u>	<u>Priority Claimed</u>
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I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

<u>Application Number</u>	<u>Filing Date</u>
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I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or any PCT International application designating the United States, listed below, and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

<u>Application Number</u>	<u>Filing Date</u>	<u>Patented/Pending/Abandoned</u>
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**POWER OF ATTORNEY:** I hereby appoint the following attorneys and agents of the law firm of STRADLING YOCCA CARLSON & RAUTH, to prosecute this application and in any international application under the Patent Cooperation Treaty based on it and to transact all business in the U.S. Patent and Trademark Office connected with either of them in accordance with instructions from assignee of the entire interest in this application; or from the first or sole inventor named below in the event the application is not assigned; or from \_\_\_ in the event the power granted herein is for an application filed on behalf of a foreign attorney or agent.

John W. Eldredge, Reg. No. 37,613  
Jan P. Weir, Reg. No. 43,253

Edward F. O'Connor, Reg. No. 25,903  
Norman E. Carte, Reg. No. 30,455

DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATIONS

DOCKET NO. 12082-05/JWE

The authority under this Power of Attorney of each person named above shall automatically terminate and be revoked upon such person ceasing to be a member or associate of or of counsel to that law firm.

**DIRECT TELEPHONE CALLS TO:** John W. Eldredge, (949) 725-4143  
**SEND CORRESPONDENCE TO :** STRADLING YOCCA CARLSON & RAUTH, IP Department  
P.O. Box 7680, Newport Beach, CA 92660-6441

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first joint inventor <del>Kyle R. Webb</del> R. KYLE WEBB	Inventor's signature R. Kyle Webb	Date 1/26/01
Residence and Post Office Address 2491 Cilantro Glen, Escondido, CA 92029		Citizenship US

Full name of second joint inventor Michael F. Brownell	Inventor's signature Michael F. Brownell	Date 1/26/01
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Full name of third joint inventor Christopher Horvath	Inventor's signature Christopher Horvath	Date 1/26/01
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Full name of fourth joint inventor Tibor Juhasz	Inventor's signature Tibor Juhasz	Date 2/2/01
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Full name of fifth joint inventor Ronald M. Kurtz	Inventor's signature Ronald M. Kurtz	Date 1/25/01
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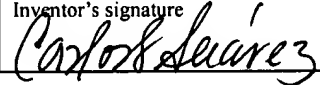
Full name of sixth joint inventor Laszlo I. Nagy	Inventor's signature Laszlo I. Nagy	Date 1/26/01
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PATENT

DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATIONS

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Full name of seventh joint inventor Mark W. Ross	Inventor's signature 	Date 1/26/01
Residence and Post Office Address 226 Cabrillo Street, Costa Mesa, California 92627		Citizenship Canada

Full name of eighth joint inventor Carlos G. Suarez	Inventor's signature 	Date 1/26/01
Residence and Post Office Address 13 Fulton, Irvine, California 92620		Citizenship US